



1764

Attorney Docket No.: PATENT  
NEI-00103

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Gary R. McLuen et al.

Serial No.: 09/742,261

Filed: December 19, 2000

For: **MULTI-WELL ROTARY  
SYNTHESIZER**

Group Art Unit: 1764

Examiner:

**TRANSMITTAL LETTER**

162 N. Wolfe Road  
Sunnyvale, CA 94086  
(408) 530-9700

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AUG 29 2002  
TC 1700

Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

Enclosed please find a Supplemental Information Disclosure Statement, a Certification pursuant to 37 C.F.R. § 1.97(e) for the Information Disclosure Statement, and Form PTO-1449, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is authorized to charge any additional fee or credit any overpayment to our Deposit Account No. 08-1275. **An originally executed duplicate of this transmittal is enclosed for this purpose.**

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: August 19, 2002

By: Jonathan O. Owens  
Jonathan O. Owens  
Reg. No.: 37,902

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington D.C. 20231

HAVERSTOCK & OWENS LLP

Date: 8/19/02 By: T. Owens

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) Group Art Unit: 1764

) Examiner:

) **SUPPLEMENTAL INFORMATION**  
) **DISCLOSURE STATEMENT**

) 162 N. Wolfe Road  
) Sunnyvale, CA 94086  
) (408) 530-9700

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

Applicant has become aware of the following printed publications which may be material to the examination of this application:

- U.S. Patent No. 3,538,950;
- Australian Patent No. 14149/76
- French Patent No. 1,143,849;
- PCT Publication No. WO 98/57181; and
- PCT Publication No. WO 99/65602.

CERTIFICATE OF MAILING (37 CFR § 1.8(a),

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This Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: August 19, 2002

By: Jonathan O. Owens  
Jonathan O. Owens  
Reg. No.: 37,902

Attorneys for Applicant



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SYNTHESIZER**

) Art Unit: 1764

) Examiner:

) **CERTIFICATION FOR  
SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT UNDER 37  
CFR § 1.97(e)**

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Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

**Certification**

I hereby certify that the attached five (5) references contained in this information disclosure statement were cited within an International Search Report (ISR) mailed on July 22, 2002. **Accordingly, the references contained in the supplemental information disclosure statement were cited in a communication from a foreign patent office in a counterpart application not more than three months prior to the filing of the statement. 37 C.F.R. 1.97(e)(1).**

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**CERTIFICATE OF MAILING (37 CFR § 1.8(a))**

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- 1 -

HAVERSTOCK & OWENS LLP.

Date: 8/19/02 By: N. Haverstock



Attorney Docket No.: PATENT  
NEI-00103

### Identification of Person Making Certification

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: August 19, 2002

By: Jonathan O. Owens  
Jonathan O. Owens  
Reg. No.: 37,902

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FORM PTO-1449 (Modified) <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use Several Sheets If Necessary) (37 CFR § 1.98(b))	U.S. Department of Commerce Patent and Trademark Office	Attorney Docket No.: NEI-00103 Applicant: Gary R. McLuen et al. Filing Date: December 19, 2000	Serial No.: 09/742,261 Group Art Unit: 1764
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## U.S. PATENT DOCUMENTS

Examiner Initials	Serial / Patent Number	Issue Date	Applicant / Patentee	Class	Subclass	Filing Date
AA	3,538,950	11/10/70	Wilhelm L. Porteners	137	608	04/16/69
AB						
AC						
AD						
AE						
AF						
AG						
AH						
AI						
AJ						
AK						
AL						

## FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS

	Document Number	Publication Date	Country / Patent Office	Class	Subclass	Translation	
						Yes	No
AK	14149/76	11/24/77	Australia	F161L	001/14		
AL	1,143,849	10/04/57	France	E 03b	E 03d		X
AM	WO 98/57181	12/17/98	WIPO	G01N	35/10		
AN	WO 99/65602	12/23/99	WIPO	B01J	19/00		
AO							

## OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

AP	
AQ	
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Examiner:

Date Considered:

**EXAMINER:**

Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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